



APS JUSTIFICATION

Policy Title: Data Governance

APS Number: 6010

APS Functional Area: **INFORMATION TECHNOLOGIES**

Date Submitted:	January 31, 2024
Proposed Action:	Revision
Brief Description:	To ensure that data are managed as a material asset the University has established a data governance program with the goals of ensuring that data provides value, meets compliance requirements, and risks are managed appropriately. Given that poor handling of data poses a risk to the university it is necessary to define roles and responsibilities for certain types of data.
Desired Effective Date:	July 1, 2024
Responsible University Officer:	Chair of the Data Governance Executives Council
Responsible Office:	Data Governance
Policy Contact:	data.governance@cu.edu
Applies to:	Universitywide

Reason for Policy: Define roles and responsibilities to enable the University to exercise positive control over the processes and methods used to handle data and assure that university employees and administrative processes have appropriate access to reliable, authentic, accurate, and timely data. Data governance authority rests ultimately with the President and Chancellors; this policy defines roles and responsibilities to assist the President and Chancellors.

I. REASON FOR PROPOSED ACTION

CU has revised its Data Governance Operating Model, the decision-making framework comprised of committees with transparent escalation and communication mechanisms. Revisions include establishment of a Data Governance Executive Council, an escalation path for resolution of multi-campus data concerns, and an emphasis on seeking global solutions that benefit all campuses. Locally, campuses are empowered to operate as needed within this framework.

II. STAKEHOLDER ENGAGEMENT IN THE POLICY REVIEW

CU Data Governance Executive Committee, Data Governance and Management Project Steering Committee, Student Data Stewardship Group, CU IT Governance (CIO subcommittee), and Senior Leaders at each campus.

III. LEGAL REVIEW

- A. Do you think legal review would be required for these proposed changes? **No.**
 - 1. If no, please explain. **Changes to the policy do not propose a legal risk.**
 - 2. If yes, what is your plan to get the legal review?
- B. Date legal review completed:
- C. Person completing legal review:

IV. FISCAL REVIEW

Are there any financial (human resources, technology, operations, training, etc.) or other resource impacts of implementing this policy (e.g., cost savings, start-up costs, additional time for faculty or staff, new systems, or software)? If yes, please explain:

Yes. new councils, comprised of existing staff, will be established. These councils may establish working groups to and/or engage subject matter experts to Data Governance projects and/or resolve multi-campus data issues escalated to the council. Training will be required for each new council and other individuals working with the councils. Further training may be needed across CU to ensure understanding and adoption of the new policy. A UIS Organizational Change Management resource has been engaged as part of the Data Governance and Management Project to support training and communication needs.