University of Colorado
Boulder | Colorado Springs | Denver | Anschutz Medical Campus

APS JUSTIFICATION

Policy Title: Intellectual Property Policy on Discoveries and Patents for Their Protection and Commercialization

APS Number: 1013 **APS Functional Area: ACADEMIC/RESEARCH**

Date Submitted:	September 26, 2024
Proposed Action:	Revision
Brief Description:	This policy governs the ownership and disposition of intellectual property created by university employees, volunteers, students, and visitors.
Desired Effective Date:	March 2026
Responsible University Officer:	The Principal Technology Transfer Officers
Responsible Office:	The Offices of the Principal Technology Transfer Officers
Policy Contact:	The Principal Technology Transfer Officers
Applies to:	All Campuses.

Reason for Policy: The purpose of this policy is to implement Regent Policy 5.I, "Intellectual Property Related to Discoveries and Patents for Their Protection and Commercialization."

I. REASON FOR PROPOSED ACTION

The revisions to APS 1013 are being proposed for the following reasons: (1) to be consistent with Regent Policy 5.I, implemented on July 1, 2024; (2) to reflect how the management of technology transfer at the University of Colorado has changed in practice since APS 1013 was last updated in 2006; and (3) to allow each Campus to determine procedures for the implementation of technology transfer appropriate for that Campus.

II. STAKEHOLDER ENGAGEMENT IN THE POLICY REVIEW

Venture Partners at the University of Colorado Boulder; CU Innovations at the University of Colorado Anschutz Medical Campus; the Dean of the Kraemer Family Library and Senior Executive Director of Innovation & Technology at the University of Colorado Colorado Springs; the Associate Vice Chancellor for Research and Chief Research Officer at the University of Colorado Denver; Deans; and Faculty

III. LEGAL REVIEW

A. Do you think legal review would be required for these proposed changes? **Yes.**

1. If no, please explain.

2. If yes, what is your plan to get the legal review? **Legal has been involved in the drafting of the revisions to this APS.**

B. Date legal review completed: 10/**30/24**

C. Person completing legal review: **Office of University Counsel (Erica Weston; Annalissa Philbin; Jordan Ross; Bijan Bewley; and Chris Puckett)**

IV. **FISCAL REVIEW**

Are there any financial (human resources, technology, operations, training, etc.) or other resource impacts of implementing this policy (e.g., cost savings, start-up costs, additional time for faculty or staff, new systems, or software)? **No.** If yes, please explain:

There are no additional financial or other resource impacts of implementing this policy as the Technology Transfer Offices currently enforce the Intellectual Property Policy on Discoveries and Patents for Their Protection and Commercialization.