

ADMINISTRATIVE POLICY STATEMENT

Policy Title: Colorado Open Records Act (CORA)

APS Number: 2022	APS Functional Area: ADMINISTRATIVE/GENERAL
Brief Description:	This policy sets forth rules for the inspection of public records in the custody or control of the University of Colorado.
Effective:	January 1, 2019
Approved by:	President Bruce D. Benson
Responsible University Officer:	Vice President for University Communication
Responsible Office:	University Relations
Policy Contact:	Vice President for University Communication
Supersedes:	Colorado Open Records Act (CORA), July 1, 2014
Last Reviewed/Updated:	August 28, 2024
Applies to:	This policy applies to all requests submitted pursuant to C.R.S. § 24-72-201, <i>et seq.</i> , to inspect public records in the custody or control of the University of Colorado.

Reason for Policy: Sets forth university requirements for inspection of public records in accordance with the Colorado Open Records Act.

I. INTRODUCTION

Pursuant to C.R.S. § 24-72-201, *et seq.*, the "Colorado Open Records Act" (the Act), all public records must be open for inspection by any person at reasonable times, except as provided by law. This policy sets forth the official custodians' (for the Board of Regents, CU system administration, or CU campuses) rules for the inspection of such records, as provided in the Act, which rules are reasonably necessary for the protection of such records and the prevention of unnecessary interference with the regular discharge of the duties of the custodian or the custodian's office.

II. POLICY STATEMENT

This policy applies to all requests, submitted pursuant to C.R.S. § 24-72-201, *et seq.*, to inspect public records in the custody or control of the University of Colorado. The university is committed to the guiding principles of openness, transparency, accountability, and responsiveness.

- A. Requirements for requesting to inspect public records for the University of Colorado:
 - 1. All requests to inspect public records must be submitted in writing to the official record custodian of the relevant campus or of system administration/Board of Regents using the form located in <u>APPENDIX B</u>. Requests made to any person other than the proper custodian will not be accepted.
 - 2. Requests may be submitted via electronic mail (e-mail), regular U.S. mail, or via facsimile. The proper contact information for each official record custodian can be found in <u>APPENDIX B</u>.
 - 3. The date the request is received by the custodian will constitute the "date of receipt". For requests submitted via e-mail, the date of receipt shall be the date that the official record custodian confirms receipt in writing to the requestor. The statutory time for response will begin when the custodian provides confirmation to the requestor.

If a request is sent via e-mail to anyone other than the official record custodian, it will not be considered as received by the university.

- 4. All requests for records **must** be specific as to the records sought and the relevant dates. Requests for correspondence must identify the parties to the correspondence. For any request that is vague or broadly stated, the custodian may require the requestor to provide a more specific request.
- 5. If a requestor is unable to identify the specific document(s) sought, the requestor is encouraged to contact the relevant custodian in advance of submitting a request for assistance in providing the requisite specificity.
- 6. There are several categories of information that are protected by state and federal law. See <u>APPENDIX A</u> for a list of examples of information that the university is prohibited from releasing.
- 7. The university is not required by the Act to construct or create a record that does not exist. Nor is the university required to manipulate or analyze information in a new way in order to respond to a request.
- 8. Time for response to records requests shall be as follows:
 - a. The normal time for production shall be three (3) working days; beginning on the first business day after the request is received (see section II.A.3).
 - b. Such period may be extended upon determination by the custodian that extenuating circumstances exist. Such period of extension shall not normally exceed **seven (7)** working days. The requestor shall be notified of the extension within the three-day period.
- 9. Requests to inspect records will not take priority over the regular work activities of university employees.
- 10. Charges for copies of requested records shall be as follows:
 - a. The normal cost for requested documents shall be \$.25 per page or, for documents in non-standard formats, the actual duplication costs. If electronic copies of responsive records can be made available without printing or scanning, there shall be no per page charge for such records.
 - b. The custodian may charge a research and retrieval fee based on the actual time spent and the actual cost of responding to the request; provided, however, that the hourly rate for employee time shall be set in accordance with C.R.S. § 24-72-205, *et seq.*, and there shall be no charge for the first hour of employee time. Effective August 28, 2024, the hourly rate is \$41.37.
 - c. Payment must be received by the custodian before any records are produced to the requestor.
- 11. If the employee time for responding to a request, including, but not limited to, research and retrieval, is expected to exceed the initial complimentary hour, the custodian will provide the requestor with an estimate of the cost of responding. If the requestor wishes to proceed once receiving an estimate, they must respond in writing. By responding in writing, the requestor agrees to pay all fees associated with responding to the request before any records are produced. The time between the date of the custodian's estimate and the receipt by the custodian of a written response to proceed will not be counted against the time period set forth in sections II.A.3 and II.A.8.a.
- 12. If a requestor wishes to inspect available records in advance of receiving copies, such inspection shall be by appointment only during normal working hours. Such inspection must be supervised by a university representative and the requestor may be charged for employee time associated with such inspection.

III. RELATED POLICIES, PROCEDURES, FORMS, GUIDELINES, AND OTHER RESOURCES

A. Procedures

Procedures for Requesting Access to Public Records at the University of Colorado

B. Forms

Public Records Request Form

C. Other Resources (i.e., training, secondary contact information)

Appendix A: Information Not Public by Law Appendix B: Procedure and Form to Submit a Formal Request

IV. HISTORY

- Adopted: July 1, 2013.
- Revised: July 1, 2014; January 1, 2019; July 1, 2019 Hourly rate updated from \$30.00 to \$33.58 in accordance with C.R.S. § 24-72-205, *et seq.*; October 2, 2023 After periodic review, non-substantive changes were implemented; August 28, 2024 Non-substantive cleanups were made to implement a new hourly rate in accordance with C.R.S. § 24-72-205, *et seq.*
- Last Reviewed: August 28, 2024.