

ADMINISTRATIVE POLICY STATEMENT

APS Functional Area: ACADEMIC/RESEARCH

Policy Title: Procedures for Allocating Sponsored Project Funding on More than One Campus

APS Number: 1016

Brief Description:	This policy guides the allocation of sponsored project funding and facilities and administrative cost rates.
Effective:	January 1, 2016
Approved by:	President Bruce D. Benson
Responsible University Officer:	Vice President for Academic Affairs
Responsible Office:	Office of the Vice President for Academic Affairs
Policy Contact:	Office of the Vice President for Academic Affairs
Supersedes:	Procedures for Allocating Sponsored Project Funding on More than One Campus, July 20, 2012
Last Reviewed/Updated:	January 1, 2016
Applies to:	All campuses

Reason for Policy: In the case of multi-campus research projects, this policy guides the allocation of sponsored project funding and facilities and administration cost rates.

I. POLICY STATEMENT

When a sponsored project award is made to one campus (the "prime campus") that involves the participation of other campuses (the "collaborating campus" or "campuses") such that a portion of the funding for the project is to be allocated to the collaborating campus as a sub-award or sub-contract, the following procedures shall apply:

- A. The collaborating campus shall provide the prime campus a proposal for its part of the project. The proposal shall describe the nature and extent of the collaborating campus's responsibilities and the amount of funding to be allocated to the collaborating campus. This proposal is subject to approval by the collaborating campus's project director and its authorized official (subject to normal campus routing processes), and by the prime campus's project director and its authorized institutional official for sponsored agreements.
- B. The prime campus shall, in a timely manner, notify the collaborating campus in writing of: (1) the receipt of the award; (2) the collaborating campus's portion of the award; and (3) the funding agency's relevant terms and conditions to be followed in its administration. The letter shall authorize the collaborating campus to send an invoice to the prime campus for expenditures incurred or portions of the amount awarded, as appropriate.
- C. Each campus shall comply fully with all applicable terms of the sponsored project award as stipulated by the funding agency or otherwise required by law. Each campus shall use its own Facilities and Administration (F&A) cost rate for its portion of the sponsored project. For the prime campus, this shall include F&A costs applied to the first \$25,000 (or whatever threshold is specified in future indirect cost agreements) of the collaborating campus's sub-award if the prime campus's federally negotiated F&A rate is based on Modified Total Direct Costs (MTDC).

- D. If the funding agency is a governmental entity or non-profit and does not allow use of the prime campus's federally negotiated F&A cost rate, the rate allowed by the funding agency, if any, shall be appropriately applied at each campus. If the funding agency is a for-profit entity, campus policy shall be applied in making the decision on the rate.
- E. Consistent with established campus policies, each campus has the authority to waive all or part of its F&A recovery on an externally funded project.

II. KEY WORDS

Sponsored, project, funding, multi-campus, research, collaborate, award, F&A, collaborating, agreements, cost, rate, federal, recovery