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Policy 4F: Classified Research ^[1]

The University of Colorado is honored to have been selected for research of every conceivable kind over many generations. The products of this inquiry by distinguished faculty and students have advanced the reputation of our University and serve society.

In the case of restricted, proprietary, and classified research, potential impacts on the University need special consideration. Therefore, individual campuses of the University of Colorado will undertake, conduct, or manage only those restricted, proprietary, and classified research projects that receive the specific approval of the campus chancellor. For the purpose of this policy, these types of research are defined as follows:

- A. Restricted research is sponsored research for which the sponsor requires a delay in the publication of results for more than six months beyond the date of submission of the final project report to the sponsor.
- B. Proprietary research is sponsored research for which the sponsor imposes data ownership restrictions that limit publication of the results beyond six months from the date of submission of the final project report to the sponsor.
- C. Classified research is research that bears a security classification from the federal government, such as top secret, secret, or confidential. Classified research restricts some or all of the results, procedures, and personnel working on the project under rules established by the agency for which the research is being conducted.

A faculty advisory committee on each campus will advise the chancellor on individual cases. In the case of multi-campus research, the president will review the recommendations of the involved chancellors and faculty advisory committees and make a determination. Actions taken by the chancellor or, in the case of multi-campus proposals, the president shall be public information. Classified research projects approved by the chancellor will be conducted at off-campus locations whenever possible. The work produced on restricted, proprietary or classified research projects cannot be used either in faculty personnel decisions or in student theses/dissertations until the work can be published in the open literature. All classified research projects will fully finance any security costs required by the projects out of the sponsor-funded direct or indirect costs.

Not included in this policy are routine testing services provided to industry by the University whose results are not publishable. Faculty members shall not perform restricted, proprietary

or classified research in University of Colorado facilities under the one-sixth rule without specific approval of the campus chancellor as provided in this policy.

A report on restricted, proprietary, and classified research shall be provided annually to the Regents which shall include its general nature, funding, and campus locations.

Last Amended: April 11, 2006

History:

adopted June 19, 1986, pp. 594-595, September 23, 2004, and amended April 11, 2006

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Links:

[1] <http://www.cu.edu/regents/policy-4f-classified-research>